Bidimensional Leaderboards:
Generate and Evaluate Language Hand in Hand

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Abstract

Natural language processing researchers have identified limitations of evaluation methodology for generation tasks, with new questions raised about the validity of automatic metrics and of crowdworker judgments. Meanwhile, efforts to improve *generation models* tend to focus on simple n-gram overlap metrics (e.g., BLEU, ROUGE). We argue that new advances on models and metrics should each more directly benefit and inform the other. We therefore propose a generalization of leaderboards, **bidimensional leaderboards** (BILLBOARDs), that simultaneously tracks progress in language generation tasks and metrics for their evaluation. Unlike conventional *unidimensional* leaderboards that sort submitted systems by predetermined metrics, a BILLBOARD accepts both generators and evaluation metrics as competing entries. A BILLBOARD automatically creates an ensemble metric that selects and linearly combines a few metrics based on a global analysis across generators. Further, metrics are ranked based on their correlations with human judgments. We release four BILLBOARDs for machine translation, summarization, and image captioning.[1] We demonstrate that a linear ensemble of a few diverse metrics sometimes substantially outperforms existing metrics in isolation. Our mixed-effects model analysis shows that most automatic metrics, especially the reference-based ones, overrate machine over human generation, demonstrating the importance of updating metrics as generation models become stronger (and perhaps more similar to humans) in the future.

1 Introduction

Recent modeling advances have led to improved natural language generation in applications such as machine translation and summarization (Ng et al.,

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*Work was done during an internship at AI2.
[1] `https://nlp.cs.washington.edu/billboard/`.

Model / Metric	ensemble	BLEURT	COMET-QE	Your Metric	BLEU
Correlation ↑	0.55	0.54	0.53	0.45	0.30
Overrate Machines ↓	0.19	0.32	0.13	0.20	0.62
Huoshan Translate Wu et al., 2020	78.85	0.50	0.36	45.34	46.47
Transformer-Large Vaswani et al., 2017	77.35	0.36	0.33	42.80	36.29
Your Generator	77.12	0.33	0.33	39.90	32.48
Transformer-Base Vaswani et al., 2017	76.78	0.30	0.31	38.25	33.51

output.txt 🧑‍🎓
Generator Developer

metric.py 👩‍💼
Metric Developer

Figure 1: Bidimensional leaderboard (BILLBOARD). When a generator developer submits output text (`output.txt`), BILLBOARD computes all metric scores. When a metric developer submits an executable program (e.g., `metric.py`), BILLBOARD computes correlations with the human judgments, updates the ensemble metric (§2.2), and measures how much the metric overrates machines (§2.3). It is sortable by every column, but the metric with the highest correlation is used to rank the generators by default.

2019; Raffel et al., 2020; Brown et al., 2020, *inter alia*). This progress is typically measured with automatic scores, such as BLEU (Papineni et al., 2002) and ROUGE (Lin, 2004), evaluated by modeling researchers themselves. These metrics allow for fast, inexpensive development cycles. They were adopted based on reported correlations with human judgments at the time the metrics were introduced, but it has since been established that the correspondence can collapse when models of different types are compared (Callison-Burch et al., 2006) or models become increasingly powerful (Ma et al., 2019; Edunov et al., 2020).

Meanwhile, many evaluation metrics that improve correlations with human judgments have been proposed (Clark et al., 2019; Zhang et al., 2020b; Sellam et al., 2020; Hessel et al., 2021, *inter alia*), but this progress is largely ignored by the

community of researchers focused on advancing models. Indeed, we found that 68% of the machine translation papers from NAACL 2020 and ACL 2020 evaluated their models solely by BLEU, and only 5% measured the performance with recent metrics that use contextual representations, such as COMET (Rei et al., 2020) and BERTScore (Zhang et al., 2020b). Similarly, automatic evaluation in 66% of the summarization papers was done only in terms of ROUGE.[2] We believe this separation between generation modeling and automatic evaluation represents a missed opportunity for each subcommunity to more rapidly benefit from the advances of the other.

We therefore propose an abstraction of conventional leaderboards, **bidimensional leaderboards** (BILLBOARDS), that simultaneously facilitates progress in natural language generation and its evaluation (Fig. 1). A BILLBOARD accepts two types of submissions related to a given task and dataset: **generators** and **metrics**. Unlike conventional leaderboards, model ranking is not tied to a predetermined set of metrics; the generators are ranked based on the metric that currently correlates best with human judgments. Metric submissions are ranked by their correlations to human judgments and each is stored as an executable program, which will then be used to evaluate future generation submissions. Our BILLBOARD includes a sparse regression that selects and linearly combines three existing metrics, revealing complementary strengths. All leaderboard scores are readily reproducible, allowing research on generation models and automatic metrics to benefit from each other.

We release four BILLBOARDS spanning three generation tasks: the WMT20 EN-DE and WMT20 ZH-EN machine translation tasks (Barrault et al., 2020), the CNNDM summarization task (Hermann et al., 2015), and the MSCOCO image captioning task (Lin et al., 2014). Using the collective analyses of BILLBOARDS, our main findings are as follows.

- A simple linear combination of a few (diverse) metrics can sometimes improve correlations. This finding quantifies complementary effects of different metrics and encourages metric developers to seek out aspects of generated text quality not yet measured by existing metrics.
- Using linear mixed-effects models, we find that most automatic metrics, especially con-

ventional, reference-based ones such as BLEU and ROUGE, *overrate* machines over humans in all tasks. This result provides further support for the claim that the metrics should be continually evaluated and updated as our generation models become stronger (and perhaps, closer to humans) in the future.

- When only one reference is available per instance, COMET-QE (a strong *referenceless* metric with crosslingual contextual representations; Rei et al., 2020) achieves higher correlations with human judgments than all reference-based metrics. This raises a concern about the current standard evaluation practice in machine translation and summarization that uses reference-based metrics with a single reference per instance.
- Our findings confirm many others who report that recent metrics achieve substantially higher correlations with human judgments than popular metrics like BLEU and ROUGE in BILLBOARDS. We believe these metrics continue to be used mainly because modeling researchers value consistency and accessibility of evaluation practice over long periods of time. BILLBOARDS provide a way to maintain long-term comparability of system output while also drawing better conclusions about system quality, using advances in evaluation. All generators keep being evaluated with new metrics on BILLBOARDS.

2 Bidimensional Leaderboards

We propose BILLBOARDS to simultaneously drive progress in natural language generation and its evaluation, which are often disconnected in current research. We first describe the general framework (§2.1) and the automatic analyses they provide (§2.2-2.3). We then discuss our design choices (§2.4) and the rubric-based, human judgment data necessary to initialize BILLBOARDS (§2.5).

2.1 BILLBOARD Framework

The leaderboard paradigm has driven research on state-of-the-art model performance on many tasks in various fields, including computer vision and natural language processing (e.g., ImageNet, Russakovsky et al., 2015; MSCOCO, Lin et al., 2014; SQuAD, Rajpurkar et al., 2016). As applications and tasks become more diverse, however, the conventional leaderboard paradigm presents a seri-

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[2]We examined all papers whose title contains "machine translation" and "summarization" and disregarded papers on evaluation metrics. See Appendix A for details.

ous challenge: the assumption becomes too strong that predetermined, automatic metrics can reliably score the system performance *over time*. In particular, scores from automatic metrics often diverge from human judgments in language generation tasks especially when models become increasingly powerful (Ma et al., 2019) or they are applied to different domains (Liu and Liu, 2008).

Much recent work proposed new evaluation metrics that improve correlations with human judgments in certain generation tasks (Clark et al., 2019; Zhang et al., 2020b; Sellam et al., 2020; Hessel et al., 2021, *inter alia*), but most developers of generation models are not benefiting from them (See Appendix A for our analysis of papers from NAACL/ACL 2020). From the perspective of generation model developers, it is not clear which of these many metrics in the literature is most reliable in which generation task or dataset, resulting in community-wide overuse of long-standing metrics like BLEU and ROUGE. Developers of evaluation metrics, on the other hand, are missing the opportunity to apply their metrics to new generation models and compare their metrics with the existing ones. We propose BILLBOARDs that bridge this gap between generation modeling and evaluation development.

Generators, Metrics, and Scores A BILLBOARD for a language generation task consists of sets of generators and evaluation metrics: $\mathcal{G} = \{G_i\}_{i=1}^I$, $\mathcal{M} = \{M_j\}_{j=1}^J$. Each generator G_i takes as input X_k (e.g., source text in machine translation) and generates text: $Y_{i,k} = G_i(X_k)$. A metric M_j assigns a score to each generated text given the generation input and the corresponding set of references $\mathcal{R}_k$: $s_{i,j,k} = M_j(Y_{i,k}, \mathcal{R}_k, X_k)$. The last two arguments to the function are optional; some metrics do not require references (i.e., *referenceless* or *quality estimation* metrics) or the generation input (e.g., BLEU and ROUGE). We then compute the aggregate score $s_{i,j}$ by averaging $s_{i,j,k}$ over all K test samples.

Rankings In contrast to standard leaderboards, BILLBOARDs have a dynamic set of evaluation metrics, and generators are not ranked by a predefined metric. We first rank the metrics by measuring their correlations to human judgments as commonly done in the generation evaluation literature (Zhang et al., 2020b; Sellam et al., 2020). Let $h_{i,k}$ be a human score for $Y_{i,k}$ (i.e., output

from generator G_i on input X_k). We compute the instance-level Pearson correlation for every metric M_j between $h_{i,k}$ and $s_{i,j,k}$ (M_j score for $Y_{i,k}$). All metrics are ranked by their correlations. We then use the top metric M_{j*} to rank the generators in the descending order of $s_{i,j*}$. We defer our discussions on alternative design choices (§2.4) and human evaluations (§2.5). We note, however, that the overall framework of BILLBOARDs still holds regardless of these decisions.

2.2 Ensemble of Metrics

So far, we have assumed that metrics are used individually in isolation, but BILLBOARDs provide a unique opportunity to examine metrics collectively. Different metrics can capture different aspects of generation quality; even if a metric is not sufficiently informative in isolation, it might reflect an important aspect of text quality that the existing metrics overlook. Here we consider a straightforward and interpretable ensemble of metrics using a regression model with ℓ_1 regularization (Tibshirani, 1994). Let the ensemble's score be

$$\hat{h}_{i,k} = \sum_{j=1}^J w_j \cdot s_{i,j,k},$$

where w_j is a scalar coefficient associated with the jth metric. We optimize the vector of coefficients $\mathbf{w}$ with the pairs of output text and a human score $\{Y_{i,k}, h_{i,k}\}_{k=1}^K$ from the test data:

$$\mathbf{w} = \arg\min_{\mathbf{w}} \sum_{k=1}^K \left(h_{i,k} - \hat{h}_{i,k} \right)^2 + \lambda \|\mathbf{w}\|_1$$

The ℓ_1 regularization produces sparse coefficients and improves interpretability by removing highly correlated metrics. Moreover, it avoids the need for practitioners to run many metrics to obtain an ensemble score when used outside our BILLBOARDs. Our goal for the ensemble is to provide a useful signal to the research community, rather than to achieve the best possible correlation with human judges at a given time; we tune λ to get three non-zero coefficients. Every metric is standardized by its mean and standard deviation on the test data.

Similar to the individual metrics, we rank this ensemble metric by its correlation to the human judgment scores. To make fair comparisons, we simulate situations that the ensemble metric is applied to a newly submitted generator that has no human evaluations. Specifically, we perform cross validation that holds out the human judgments for each generator G_i and runs regression on the rest;

we then apply these I regression models to the corresponding held-out data and calculate the overall correlation. We will see that the ensemble metric outperforms all individual metrics in some cases, suggesting that different metrics can capture different aspects.

Reproduciblity The ensemble metric is updated every time a new metric is submitted (Fig. 1). For better reproducibility, we keep track of every past ensemble metric with a signature that indicates its coefficients, λ, and input metrics in the backend. Similar to the SACREBLEU package (Post, 2018), model developers can report the signature for easy replication of their scores from the ensemble metric.[3] Further, all generation outputs are saved on the leaderboards, so model developers can download outputs from all past models and compare in any way.

2.3 Mixed-Effects Model Analysis

Recent work (Kasai et al., 2021c) observed that automatic metrics tend to *overrate* machine-generated text over human one on the MSCOCO image captioning task (Chen et al., 2015). This problem is particularly severe in conventional metrics that are based on n-gram overlap such as BLEU and CIDEr (Vedantam et al., 2015). This raises a significant concern about the continuous use of these conventional metrics in generation tasks as models become increasingly powerful (and more similar to humans); those metrics unintentionally discourage researchers from developing human-like, strong generation models. To quantify this undesirable property, we propose a linear mixed-effects model that compares the two groups of machine- and human-generated text. The underlying model assumes that $s_{i,j,k}$, the score from metric M_j for generator G_i and test example k can be expressed as (the intercept term is suppressed for brevity):

$$s_{i,j,k} = \beta_0^j \mathbb{1}\{G_i \text{ is machine}\} + \beta_1^j h_{i,k} + \gamma_k + \epsilon_{i,j,k}$$

where γ_k is the random effect for example k, and $\epsilon_{i,j,k}$ is Gaussian noise. Intuitively, β_0^j measures how much metric M_j *overrates* machine generation over human one, compared against the human judgment $h_{i,k}$. $\beta_0^j = 0$ means being neutral, and indeed we will find that β_0^j is significantly positive in most cases (§4). We standardize all metric scores over the test samples to compare the size of β_0^j. We apply the lme4 package (Bates et al., 2015).

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[3]E.g., ensemble.wmt20-zh-en+refs.AB+version.1.

2.4 Design Choices and Discussion

In our current setup, we make several design choices for metrics and their rankings:

- **M.1** Metrics are expected to positively correlate with the generation output quality.
- **M.2** Metrics are ranked by their instance-level Pearson correlations with human judgment scores.
- **M.3** When available, reference-based metrics use multiple references per instance.

M.1 implies that we need to take the negative of metric scores that are intended to negatively correlate (e.g., TER, Snover et al., 2006). This normalization is also done in WMT metric competitions (Callison-Burch et al., 2007, 2008, *inter alia*).

While instance-level correlations are commonly used to evaluate and compare automatic metrics for various language generation tasks (Sellam et al., 2020; Fabbri et al., 2021; Hessel et al., 2021, *inter alia*), there are several alternatives to M.2. For example, Pearson, Spearman's rank, or Kendall rank correlations can be used on a system (i.e., generator) level (Callison-Burch et al., 2007; Macháček and Bojar, 2014; Mathur et al., 2020b). However, such system-level correlations would substantially reduce data points to compare automatic scores, resulting in many ties in the ranking. Spearman's and Kendall rank correlations become brittle when multiple generators are similar in overall output quality; penalizing a metric for swapping two similar generators is misleading (Macháček and Bojar, 2014). Moreover, if a metric can perform well on an instance level, it can be used to augment human judgments by, for example, flagging likely wrong ratings (Mathur et al., 2020b). Thus, we encourage researchers to develop metrics that correlate well with human judgments on an instance level. Prior work also points out other problems in ranking metrics like *outlier effects* where outlier systems have a disproportionately large effect on the overall correlation (Mathur et al., 2020b,a). We therefore assume M.2 in the current version of BILLBOARDs, but this can be modified in a future version.

M.3 is supported by our experimental results in §4 that multiple references substantially improve reference-based metrics, and a single reference is often insufficient to outperform strong reference-less metrics. Some metrics have specifications for multiple references (e.g., BLEU, CIDEr). In the other cases, we evaluate outputs against every reference and take the maximum score, following prior
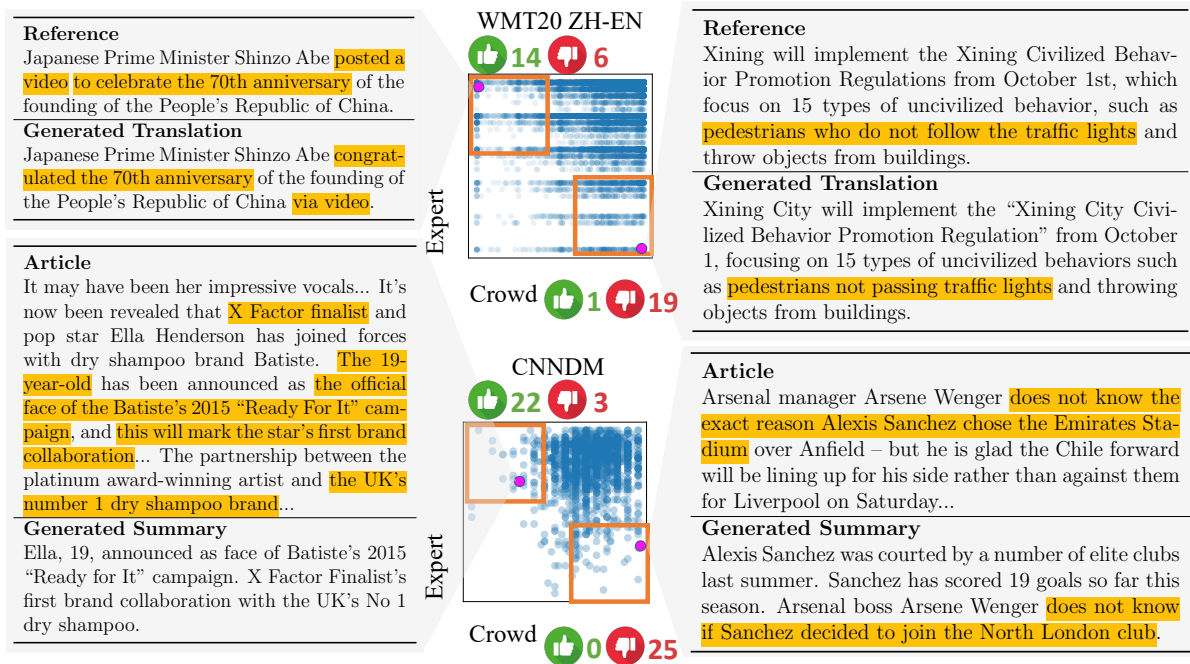
Reference

Japanese Prime Minister Shinzo Abe posted a video to celebrate the 70th anniversary of the founding of the People's Republic of China.

Generated Translation

Japanese Prime Minister Shinzo Abe congratulated the 70th anniversary of the founding of the People's Republic of China via video.

Article

It may have been her impressive vocals... It's now been revealed that X Factor finalist and pop star Ella Henderson has joined forces with dry shampoo brand Batiste. The 19-year-old has been announced as the official face of the Batiste's 2015 "Ready For It" campaign, and this will mark the star's first brand collaboration... The partnership between the platinum award-winning artist and the UK's number 1 dry shampoo brand...

Generated Summary

Ella, 19, announced as face of Batiste's 2015 "Ready for It" campaign. X Factor Finalist's first brand collaboration with the UK's No 1 dry shampoo.

WMT20 ZH-EN

👍 14 👎 6

Crowd 👍 1 👎 19

Expert

CNNDM

👍 22 👎 3

Crowd 👍 0 👎 25

Expert

Reference

Xining will implement the Xining Civilized Behavior Promotion Regulations from October 1st, which focus on 15 types of uncivilized behavior, such as pedestrians who do not follow the traffic lights and throw objects from buildings.

Generated Translation

Xining City will implement the "Xining City Civilized Behavior Promotion Regulation" from October 1, focusing on 15 types of uncivilized behaviors such as pedestrians not passing traffic lights and throwing objects from buildings.

Article

Arsenal manager Arsene Wenger does not know the exact reason Alexis Sanchez chose the Emirates Stadium over Anfield – but he is glad the Chile forward will be lining up for his side rather than against them for Liverpool on Saturday...

Generated Summary

Alexis Sanchez was courted by a number of elite clubs last summer. Sanchez has scored 19 goals so far this season. Arsenal boss Arsene Wenger does not know if Sanchez decided to join the North London club.

Figure 2: Comparisons and meta-evaluations of crowdworker and rubric-based expert evaluations for WMT20 ZH-EN and CNNDM summarization. Every dot represents one test instance that is evaluated by the same numbers of experts and crowdworkers (one for WMT20 ZH-EN and three for CNNDM) for fair comparisons. We randomly picked samples from instances with diverging evaluations in two areas ☐ and conducted binary meta-evaluations (good 👍 or bad quality 👎). **Meta-evaluations agree more with the expert evaluations** (more 👍 in the upper left squares and more 👎 in the lower right ones).

work on image captioning evaluation (Zhang et al., 2020b; Hessel et al., 2021).[4]

2.5 Human Evaluation

Human evaluations are required to initialize BILL-BOARDS; they are used to rank metrics, train the metric ensembling model, and assess how much each metric overrates machines. Recent work, however, points out problems when evaluations are done by crowdworkers even when extensive quality controls are performed (Gillick and Liu, 2010; Toral et al., 2018; Freitag et al., 2021; Clark et al., 2021; Fabbri et al., 2021). Freitag et al. (2021) show that rubric-based machine translation evaluations by professional translators led to substantially different generator rankings from the crowdsource evaluations in WMT 2020 (Barrault et al., 2020), where WMT participants or Amazon Mechanical Turkers directly assess each translation's adequacy by a single score (*direct assessment*). These crowdworker evaluations depend highly on individual annotators' discretion and understanding of the annotation scheme (Freitag et al., 2021; Clark et al.,

2021), making it difficult to decompose, interpret, and validate (Kasai et al., 2021c). Moreover, these direct assessment scores make it difficult to interpret evaluation results for downstream applications where some aspects are particularly important (e.g., accessibility for people with visual impairments on the image captioning task, Gleason et al., 2020; gender bias in machine translation, Stanovsky et al., 2019).

Motivated by this line of work, we perform meta-evaluations to compare crowdsourced and rubric-based expert evaluations. Fig. 2 plots overall scores for test examples in the WMT20 ZH-EN (Barrault et al., 2020; Freitag et al., 2021) and CNNDM summarization (Fabbri et al., 2021) tasks. Each instance is evaluated by averaging the same number of crowdworkers and expert scores for fair comparisons. We see that substantially many instances fall into disagreement: crowdworkers give much higher scores than experts (lower right square), or the reverse (upper left square). We sample and shuffle 20/25 examples from either type and ask a meta-evaluator to make a binary decision (good 👍 or bad quality 👎).[5] We see that meta-evaluations

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[4]Intuitively, the maximum score measures the distance to the closest out of equally valid generations (often called *modes* in the literature).

[5]The meta-evaluator for WMT20 ZH-EN was a bilingual

agree more with the expert evaluations (e.g., 22 and 0 🔄 in the upper left and lower right squares for CNNDM, respectively). In the examples on the left, crowdworkers fail to properly assess a valid translation with different structure than the reference (*posted a video to celebrate* vs. *congratulated via video*) or a summary that combines information from different parts of the article. The examples on the right illustrate that crowdworkers can be fooled by inaccurate yet fluent generations (*does not know the reason* vs. *does not know if Sanchez decided*). Given this result, we decide to initialize our BILL-BOARDs with rubric-based expert evaluations for all generation tasks. Nonetheless, crowdsourced evaluations can scale up more easily, and we encourage future work to explore ways to improve them.

3 Experiments

Having established the framework and design choices, we set up BILLBOARDs for three natural language generation tasks: machine translation, summarization, and image captioning. To maximize the performance of reference-based metrics, we use as many references as possible for each task. See §4 for an analysis on the effect of varying numbers of references.

3.1 Tasks

Machine Translation We experiment with two language pairs from the WMT 2020 news translation task (Barrault et al., 2020): Chinese→English (**WMT20 ZH-EN**) and English→German (**WMT20 EN-DE**). We use outputs from all submitted translation systems.[6] These two language pairs have expert, rubric-based scores (MQM) from Freitag et al. (2021) for a subset of 10 submitted systems, including the top-performing systems and human translations. Each output sentence is evaluated by three professional translators. Following Freitag et al. (2021), the three scores are averaged to get an instance-level score.

We use all human translations available as a reference set for reference-based metrics. Concretely, every test instance in WMT20 ZH-EN has two translations provided by different human translation services: Human-A and Human-B (Barrault et al., 2020). In addition to Human-A and

Human-B, WMT20 EN-DE provides a translation that is created by linguists who are asked to paraphrase Human-A and Human-B as much as possible (Human-P, Freitag et al., 2020). These paraphrased translations are shown to increase correlations with human judgments by mitigating the *translationese effect* and diversifying the reference when the generation quality is measured by reference-based metrics (Freitag et al., 2020).

Along with all submitted generators in WMT20 ZH-EN and WMT20 EN-DE, we train three transformer baselines with the fairseq library (Ott et al., 2019) and place them in our BILL-BOARDs: **transformer-base**, **transformer-large**, and **transformer-large-ensemble** with similar hyperparameters (e.g., 6-layer encoder and decoder) to the ones trained on WMT16 EN-DE data in Vaswani et al. (2017).[7] These baselines allow researchers to compare their translation models without resource-intensive techniques such as back-translation (Sennrich et al., 2016a), model ensembling, and deep encoders (Kasai et al., 2021a). These techniques are all used in top-performing systems of WMT20 (Wu et al., 2020a; Kiyono et al., 2020) but might be infeasible in many research settings. See Appendix B for a list of all hyperparameters for the baselines.

Summarization We use the CNN/DailyMail corpus (**CNNDM**, Hermann et al., 2015; Nallapati et al., 2016). We use the standard train/dev./test split and 24 models from Fabbri et al. (2021). 100 test articles are annotated with 10 summaries written by humans (Kryscinski et al., 2019). For those 100 articles, rubric-based, expert evaluations for 18 generators, including human-written highlights, are provided by Fabbri et al. (2021).[8] Each output summary is evaluated by three experts along four dimensions: *coherence* (collective quality of all summary sentences), *consistency* (factual alignment with the article, penalizing for hallucinations), *fluency* (quality of the individual sentences), and *relevance* (selection of important content). An instance-level score is computed by averaging scores over

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speaker of Chinese and English. The first author of this paper performed CNNDM meta-evaluations.

[6] https://www.statmt.org/wmt20/translation-task.html.

[7] We make our preprocessed data and the baseline models available at https://github.com/jungokasai/billboard.

[8] Some of the model outputs are lowercased and/or tokenized. In these cases, we apply the NLTK detokenizer (Bird et al., 2009) and/or Stanford CoreNLP truecaser (Manning et al., 2014). We encourage, however, future model developers to provide clean, untokenized output to improve the reproducibility and transparency of evaluation results (Post, 2018; Kasai et al., 2021c).

Dataset	$|\mathcal{G}|$	$|\mathcal{M}|$	Top Gen.	Single Metrics			Ensemble of Metrics	
				Top Metric	Corr.		Linear Combination	Corr.
WMT20 ZH-EN	19	15	Huoshan	COMET	0.55		1.72·COMET-QE+1.48·COMET+1.21·BLEURT	**0.61**
WMT20 EN-DE	17	11	Tohoku	COMET	0.49		1.19·COMET+0.36·COMET-QE+0.02·Prism-ref	**0.51**
CNNDM	26	15	Lead-3	COMET	**0.41**		2.85·COMET+0.26·COMET-QE+0.01·BERTScore	0.29
MSCOCO	4	15	VinVL-large	RefCLIP-S	**0.45**		2.08·RefCLIP-S+1.51·RefOnlyC+0.82·CIDEr	**0.45**

Table 1: Summary of BILLBOARDs as of Dec. 4th, 2021. Huoshan: Wu et al. (2020a); Tohoku: Kiyono et al. (2020); VinVL-large: Zhang et al. (2021); COMET, COMET-QE: Rei et al. (2020); BLEURT: Sellam et al. (2020); Prism-ref: Thompson and Post (2020); BERTScore: Zhang et al. (2020b); RefCLIP-S: Hessel et al. (2021); RefOnlyC: Kasai et al. (2021c). COMET-QE is a *referenceless* metric. BLEURT is specifically trained to evaluate into-English translations. RefCLIP-S uses image features unlike most metrics for image captioning.

all these categories and the three experts. Note that this aggregation method can be modified, depending on the downstream of interest (Kasai et al., 2021c). All 10 human-written summaries are used as the reference set for reference-based metrics.[9]

Image Captioning We use the **MSCOCO** dataset (Lin et al., 2014) that consists of everyday-scene photos sampled from Flickr. Every image is annotated with five captions written by crowdworkers (Chen et al., 2015). We apply the standard *Karpathy split* (Karpathy and Fei-Fei, 2015). For each of 500 test images, rubric-based evaluations (THUMB 1.0) are available for five systems, including one caption from a crowdworker (Kasai et al., 2021c). Similar to machine translation and summarization, we use all five crowdworker captions as a reference set for reference-based metrics.

3.2 Mixed-Effects Models

Our mixed-effects model analyzes how much every automatic metric overrates machines over humans (§2.3). This means that we need to free up one human generation per instance to measure its scores in the reference-based metrics. For machine translation, we score Human-B using the reference set of Human-A (WMT20 ZH-EN) or Human-A and Human-P (WMT20 EN-DE). For CNNDM, we use concatenated highlights as human-generated summaries and use the 10 human-written summaries from Kryscinski et al. (2019) as the reference. We follow Kasai et al. (2021c) for MSCOCO and score their randomly-selected *Human* caption using the other four as the reference. As the distinction between the *reference* and *human generation* (e.g., between the *reference* and *human generation* (e.g.,

Human-A vs. Human B on WMT20 ZH-EN) is arbitrary, we found that swapping the roles would still lead to similar results (See Appendix E).

4 Results and Analysis

Here we discuss the current results and make several key observations about the state of language generation evaluation. Table 1 summarizes the four BILLBOARDs. It is particularly noteworthy that COMET, a metric designed for machine translation, achieves the best correlation on the CNNDM summarization task as well. COMET evaluates the similarity between the crosslingual representations from XLM-RoBERTa (Conneau et al., 2020) for input text and its translation candidate. But these crosslingual representations can, of course, be used *monolingually* for English summarization. This illustrates an additional benefit of BILLBOARDs that centralize different generation tasks and find surprising task transferability of learning-based metrics. See Appendices B and C for lists of all participating generators and metrics.

Ensemble Metric The rightmost section of Table 1 shows the chosen metrics and their coefficients in the ensemble (§2.2). On the machine translation tasks, the ensemble metric outperforms the top individual metric.[10] In particular, we see a substantial gain of 0.06 points in WMT20 ZH-EN. The *referenceless* metric of COMET-QE is selected both for WMT20 ZH-EN and WMT20 EN-DE, suggesting complementary effects of diverse metrics. To further test this hypothesis, we perform ablations that drop one out of the three

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[9]Prior work used a concatenation of author-written highlights as a reference, but here we do not add it to the reference set. This is because these highlights are sometimes noisy (e.g., containing urls) or lack coherence because they are concatenations of separate bullet points (Fabbri et al., 2021).

[10]We found a major reason for the anomaly in CNNDM; an outlier generator (the GPT-2 zero-shot model; Ziegler et al., 2019) has a disproportionately large effect on the regression models. The ensemble metric outperformed the top individual metric of COMET when the zero-shot model was removed.

metrics at a time (Table 2). We see that only dropping COMET-QE would result in a decrease in the correlation score. This implies that the referenceless metric provides important information that the others do not.

Removed Metric	–	COMET	COMET-QE	BLEURT
Correlation	0.61	0.61	0.57	0.61

Table 2: Ensemble ablation studies on WMT20 ZH-EN. Only removing COMET-QE leads to a correlation drop. See Appendix D for the other datasets.

Mixed-Effects Models Seen in Table 3 are the results from our analysis that measures how much metrics *overrate* machines over humans (§2.3). We see that the fixed-effect coefficient β_0 is significantly positive in most cases. Referenceless metrics tend to have smaller coefficients. This can be due to the more diverse nature of human text than machine-generated text; reference-based metrics give a low score to human text that differs from the references even if it is of high quality. The conventional n-gram overlap-based metrics (BLEU, ROUGE, and CIDEr) have particularly large coefficients. These results suggest that the evaluation practice should be regularly updated as our generation model becomes stronger (and perhaps, more similar to human generation) in the future. Note that unlike the other tasks, "human-generated text" for CNNDM summarization is an automatic concatenation of author highlights, which contains substantial noise (Fabbri et al., 2021). This might explain the neutral and negative coefficients.
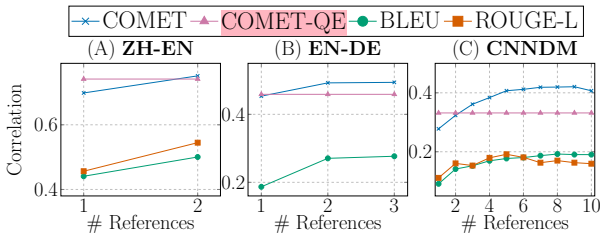

Figure 3: Correlations with varying numbers of references. In all cases, one reference is not sufficient to outperform the referenceless COMET-QE metric. The default ROUGE assumes English input.

Effects of the Number of References Fig. 3 plots correlations over varying numbers of references. COMET was the top-performing *reference-based* metric regardless of the number of refer-

ZH-EN	COMET	COMET-QE	BLEURT	BLEU
	$0.27_{\pm 0.02}$	$0.13_{\pm 0.01}$	$0.32_{\pm 0.02}$	$0.62_{\pm 0.02}$
EN-DE	COMET	COMET-QE	Prism-ref	BLEU
	$0.08_{\pm 0.03}$	$-0.17_{\pm 0.02}$	$0.44_{\pm 0.02}$	$0.33_{\pm 0.03}$
CNNDM	COMET	COMET-QE	BERTScore	ROUGE-L
	$-0.17_{\pm 0.12}$	$0.02_{\pm 0.11}$	$-0.04_{\pm 0.12}$	$0.33_{\pm 0.13}$
COCO	RefCLIP-S	RefOnlyC	CIDEr	CLIP-S
	$0.61_{\pm 0.07}$	$0.70_{\pm 0.07}$	$0.75_{\pm 0.05}$	$0.40_{\pm 0.06}$

Table 3: β_0 (fixed-effect coefficients) from the linear mixed-effects models that analyze how much automatic metrics **overrate** machine text over human, as compared to human raters. $\beta_0 = 0$ is neutral, and statistical significance is indicated by red (positive) or blue text (negative). The subscripts indicate 90% confidence intervals. Three metrics that correlate best with the human judgments are selected, in addition to one popular metric. COMET-QE and CLIP-S are *referenceless* metrics. Appendix E shows results for all metrics.

ences, but we observe that it underperforms the refererenceless metric when only one reference is given. Model performance in machine translation and summarization is commonly measured by applying reference-based metrics against one reference per instance in the research community. Our finding thus raises a further concern about the current evaluation practice. Finally, we observed that popular choices of BLEU and ROUGE metrics have much lower correlation scores than the recent metrics over various numbers of references, in line with the recent studies (Mathur et al., 2020a, *inter alia*).

5   Related and Future Work

Related Benchmarks WMT organizes the metric competition track in parallel with the translation task every year (Mathur et al., 2020b; Barrault et al., 2020, *inter alia*). Participants submit automatic scores for the translation outputs from the parallel translation task. Unfortunately, most of these new metrics are not used by subsequent machine translation work, perhaps because they are tested solely against the concurrent translation submissions and it is up to model developers to execute or even implement new metrics. The GEM workshop (Gehrmann et al., 2021) conducts extensive analysis of models and evaluation methods over a wide set of generation tasks. BILLBOARDs ease the burden through *standard* leaderboard experience where generator developers only need to

upload generation outputs for the test split. BILL-BOARDS also offer automatic ensembling of metrics and quantify the diversity that a new metric adds. The human-in-the-loop GENIE leaderboard (Khashabi et al., 2021) centralizes crowdsourced evaluations for generation tasks. The current BILL-BOARD setup is based on rubric-based, expert evaluation data from previous work, but future work can explore ways to improve crowdsourced evaluations and use them to update BILLBOARDS.

From Bidimensional to Multidimensional
BILLBOARDS lend themselves to a natural extension: *multidimensional leaderboards*. In particular, generation models have more aspects than generation quality, such as training and inference efficiency, sample efficiency, and robustness. These aspects are often ignored in the current leaderboard paradigm but are important to better serving practitioners' needs (Schwartz et al., 2019; Ethayarajh and Jurafsky, 2020). There are ongoing modeling and benchmarking efforts especially for efficient machine translation (Heafield et al., 2020; Peng et al., 2021; Kasai et al., 2021b, *inter alia*). We leave this extension to future work and specifically target the gap between generation modeling and evaluation in this work.

6 Conclusion

We introduced BILLBOARDS, a simple yet powerful generalization of leaderboards that bridges the gap between generation modeling and evaluation research. We established four BILLBOARDS on machine translation, summarization, and image captioning tasks. We demonstrated that their built-in analysis of metric ensembling and mixed-effects modeling revealed key insights into the current state of natural language generation and its evaluation methods. BILLBOARDS allow for a standard leaderboard experience both on the modeling and evaluation sides. We invite submissions from researchers through our website.

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Daniel M. Ziegler, Nisan Stiennon, Jeffrey Wu, Tom B. Brown, Alec Radford, Dario Amodei, Paul F. Christiano, and Geoffrey Irving. 2019. Fine-tuning language models from human preferences.

Appendices

A Case Studies of Evaluation Practice

Fig. 4 depicts breakdowns of evaluation metrics used in the papers on machine translation and summarization from NAACL and ACL 2021. We examined all papers whose title contains "machine translation" and "summarization." We see the clear gap between generation modeling and evaluation research; most researchers do not take advantage of recent metrics that correlate better with human judgments.

B Participating Generators

Here we list the generators submitted in the initial BILLBOARDs.

B.1 WMT20 ZH-EN

Hyperparameter	Value
label smoothing	0.1
# max tokens	1024
dropout rate	0.1
encoder embedding dim	512
encoder ffn dim	2048
# encoder attn heads	8
decoder embedding dim	512
decoder ffn dim	2048
# decoder attn heads	8
max source positions	1024
max target positions	1024
Adam lrate	5×10^{-4}
Adam β_1	0.9
Adam β_2	0.98
lr-scheduler	inverse square
warm-up lr	1×10^{-7}
# warmup updates	4000
# max updates	600K
# GPUs	8
length penalty	0.6

Table 4: Transformer-base `fairseq` hyperparameters and setting.

We use all 16 submissions for the WMT20 ZH-EN task (Barrault et al., 2020)[11] as well as our own three transformer baselines that were implemented in `fairseq` (Ott et al., 2019). Our baselines allow researchers to compare their translation models without resource-intensive techniques such as backtranslation (Sennrich et al., 2016a), model ensembling, and deep encoders (Kasai et al., 2021a). Tables 4 and 5 list the hyperprameters. We generally follow the setting from Vaswani et al. (2017).

Hyperparameter	Value
label smoothing	0.1
# max tokens	4096
dropout rate	0.1
encoder embedding dim	1024
encoder ffn dim	4096
# encoder attn heads	16
decoder embedding dim	1024
decoder ffn dim	4096
# decoder attn heads	16
max source positions	1024
max target positions	1024
Adam lrate	5×10^{-4}
Adam β_1	0.9
Adam β_2	0.98
lr-scheduler	inverse square
warm-up lr	1×10^{-7}
# warmup updates	4000
# max updates	600K
# GPUs	8
length penalty	0.6

Table 5: Transformer-large and transformer-large-ensemble `fairseq` hyperparameters and setting. Transformer-large-ensemble ensembles four transformer-large models with different random initializations.

We use `newstest-2019` as the dev. set and the official training data.[12] We apply moses tokenization (Koehn et al., 2007) and BPE with 32K operations (Sennrich et al., 2016b) to English text. We tokenize Chinese text with the Jieba package,[13] following Hassan et al. (2018). Separately from English, BPE with 32K operations are then applied to Chinese. The decoder input and output embeddings are tied. Moses detokenization is applied to get the final outputs in the last step. We make the three models and preprocessed train/dev. data publicly available.[14] Table 6 lists all generators and their automatic evaluation scores from the top-performing metric (ensemble in this case).

B.2 WMT20 EN-DE

Similar to WMT20 ZH-EN, we use all 14 submissions for the WMT20 EN-DE task along with our three transformer baselines. The same hyperparameters are chosen as in WMT20 ZH-EN (Tables 4 and 5). We preprocess both English and German text by Moses tokenizer and *joint* BPE with 32K operations. All embeddings are shared. We apply Moses detokenizer to get the final outputs. Table 7

[11]https://www.statmt.org/wmt20/results.html.

[12]http://www.statmt.org/wmt20/translation-task.html.
[13]https://github.com/fxsjy/jieba.
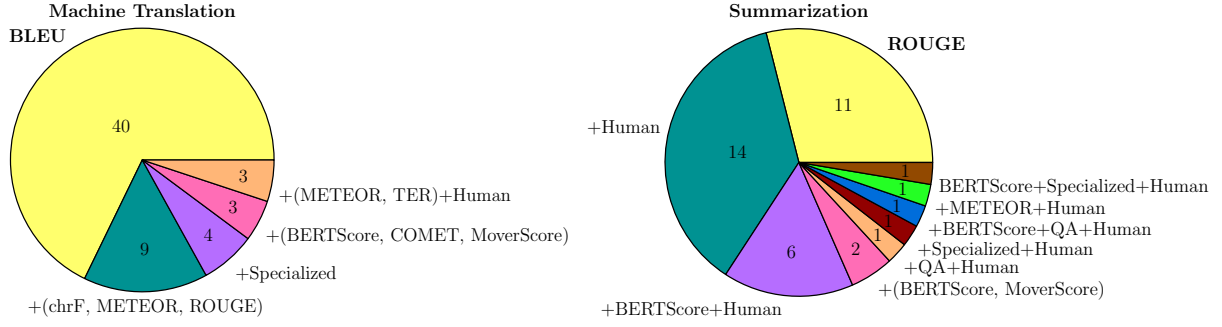[14]https://github.com/jungokasai/billboard/tree/master/baselines.

Figure 4: Breakdowns of evaluation metrics used in the papers on machine translation and summarization from NAACL and ACL 2021. We examined all papers whose title contains "machine translation" and "summarization" and disregarded papers primarily on evaluation metrics. "QA" metrics use a QA system to evaluate summaries (e.g., Eyal et al., 2019). "Specialized" indicates specialized evaluation in a particular dimension, rather than the overall generation quality, such as document-level evaluations on contrastive sets (Voita et al., 2019).

Generator	Description	Score
Huoshan Translate	Wu et al. (2020a)	78.85
THUNLP	Not available	78.81
Huawei TSC	Wei et al. (2020)	78.79
DeepMind	Yu et al. (2020)	78.76
WeChat AI	Meng et al. (2020)	78.75
Tencent Translation	Wu et al. (2020b)	78.74
DiDi NLP	Chen et al. (2020)	78.66
OPPO	Shi et al. (2020)	78.59
Online-B	Not available	78.36
SJTU-NICT	Li et al. (2020)	78.27
trans-large-ensemble	§B.1	77.35
trans-large	§B.1	76.98
Online-A	Not available	76.86
trans-base	§B.1	76.79
dong-nmt	Not available	76.74
Online-G	Not available	76.44
zlabs-nlp	Not available	75.79
Online-Z	Not available	75.05
WMT Biomed Baseline	Bawden et al. (2020)	73.89

Table 6: WMT20 ZH-EN generators and reference papers. The score column indicates the score from the metric that currently correlates best with the human judgments (**ensemble**).

shows the generators and their automatic evaluation scores from the top-performing metric (ensemble).

B.3 CNNDM Summarization

We submit all 26 models from Fabbri et al. (2021).[15] Table 7 shows all models and their automatic evaluation scores from the top-performing metric (COMET).

Generator	Description	Score
Tohoku-AIP-NTT	Kiyono et al. (2020)	90.50
Tencent Translate	Wu et al. (2020b)	90.43
OPPO	Shi et al. (2020)	90.42
eTranslation	Oravecz et al. (2020)	90.39
Online-B	Not available	90.38
Huoshan Translate	Wu et al. (2020a)	90.32
AFRL	Gwinnup and Anderson (2020)	90.16
Online-A	Not available	90.12
UEDIN	Germann (2020)	89.98
PROMT NMT	Molchanov (2020)	89.66
trans-large	§B.2	89.60
trans-large-ensemble	§B.2	89.59
trans-base	§B.2	89.35
Online-Z	Not available	89.26
Online-G	Not available	88.98
zlabs-nlp	Not available	88.65
WMT Biomed Baseline	Bawden et al. (2020)	88.23

Table 7: WMT20 EN-DE generators and reference papers. The score column indicates the score from the metric that currently correlates best with the human judgments (**ensemble**).

B.4 MSCOCO Image Captioning

We submit the four strong models from the literature (Kasai et al., 2021c).[16] They share similar pipeline structure but vary in model architecture, (pre)training data, model size, and (pre)training objective. Table 9 shows the models with their papers and automatic scores from the top-performing metric (**RefCLIP-S**).

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[15] https://github.com/jungokasai/THumB/tree/master/cnndm.

[16] https://github.com/jungokasai/THumB/tree/master/mscoco.

[17] Model with CIDEr optmization, https://github.com/microsoft/Oscar/blob/master/VinVL_MODEL_ZOO.md#Image-Captioning-on-COCO.

[18] Model with CIDEr optmization.

[19] Model with cross-entropy optimization, https://vision-explorer.allenai.org/image_captioning.

Generator	Description	Score
Lead-3	First 3 sentences	-0.011
T5	Raffel et al. (2020)	-0.030
BART	Lewis et al. (2020)	-0.032
Pegasus-dynamic-mix	Zhang et al. (2020a)	-0.044
RNES	Wu and Hu (2018)	-0.049
Unified-ext-abs	Hsu et al. (2018)	-0.056
Pegasus-huge-news	Zhang et al. (2020a)	-0.056
REFRESH	Narayan et al. (2018)	-0.067
ROUGESal	Pasunuru and Bansal (2018)	-0.073
Human-H	Highlights	-0.075
NEUSUM	Zhou et al. (2018)	-0.083
BanditSum	Dong et al. (2018)	-0.083
LATENT	Zhang et al. (2018)	-0.099
Closed-book-decoder	Jiang and Bansal (2018)	-0.112
Multi-task-Ent-QG	Guo et al. (2018)	-0.117
Pointer-Generator	See et al. (2017)	-0.144
UniLM	Dong et al. (2019)	-0.151
Bottom-Up	Gehrmann et al. (2018)	-0.160
JEC	Xu and Durrett (2019)	-0.167
Fast-abs-rl	Chen and Bansal (2018)	-0.189
NeuralTD	Böhm et al. (2019)	-0.215
Improve-abs	Kryściński et al. (2018)	-0.329
BertSum-abs	Liu and Lapata (2019)	-0.341
STRASS	Bouscarrat et al. (2019)	-0.405
GPT-2-zero-shot	Ziegler et al. (2019)	-0.441
SENECA	Sharma et al. (2019)	-0.735

Table 8: CNNDM summarization generators and reference papers. They are from Fabbri et al. (2021), but we apply detokenization (Bird et al., 2009) and/or truecasing (Manning et al., 2014) to standardize the model outputs for better, reproducible evaluations. The score column indicates the score from the metric that currently correlates best with the human judgments (**COMET**).

Generator	Description	Score
VinVL-large[17]	Zhang et al. (2021)	83.78
VinVL-base[18]	Zhang et al. (2021)	83.45
Unified-VLP	Zhou et al. (2020)	82.59
Up-Down[19]	Anderson et al. (2018)	80.63

Table 9: MSCOCO image captioning generators and reference papers. The score column indicates the score from the metric that currently correlates best with the human judgments (**RefCLIP-S**).

C   Participating Metrics

Table 10 discusses details and configurations of the automatic metrics that we implement in our initial BILLBOARDs.

Metric	Description	Refs.	Src.	Cont.
BLEU[20]	Papineni et al. (2002)	✓	✗	✗
ROUGE-3[21]	Lin (2004)	✓	✗	✗
ROUGE-L	Lin (2004)	✓	✗	✗
METEOR	Banerjee and Lavie (2005)	✓	✗	✗
TER[22]	Snover et al. (2006)	✓	✗	✗
METEOR[23]	Banerjee and Lavie (2005)	✓	✗	✗
chrF[24]	Popović (2015)	✓	✗	✗
CIDEr[25]	Vedantam et al. (2015)	✓	✗	✗
SPICE	Anderson et al. (2016)	✓	✗	✗
CharacTER[26]	Wang et al. (2016)	✓	✗	✗
chrF++	Popović (2017)	✓	✗	✗
SummaQA[27]	Scialom et al. (2019)	✗	✓	✓
BERTScore	Zhang et al. (2020b)	✓	✗	✓
BLEURT[28]	Sellam et al. (2020)	✓	✗	✓
COMET[29]	Rei et al. (2020)	✓	✓	✓
COMET-QE	Rei et al. (2020)	✗	✓	✓
Prism-ref[30]	Thompson and Post (2020)	✓	✗	✓
Prism-src	Thompson and Post (2020)	✗	✓	✓
CLIP-S[31]	Hessel et al. (2021)	✗	✓	✓
RefCLIP-S	Hessel et al. (2021)	✓	✓	✓
RefOnlyC	Kasai et al. (2021c)	✓	✗	✓

Table 10: Automatic metrics and their reference papers. The refs., src., and cont. columns indicate whether they use references, input source features, and pretrained contextual representations (e.g., BERT; Devlin et al., 2019), respectively.

D   Additional Ensemble Metric Ablations

Seen in Table 11 are ablation studies for the ensemble metrics where one of the three selected metrics is removed at a time. Dropping one metric often has no impact on the correlation score, suggesting that these metrics are highly redundant and capture similar aspects of the output quality. BILLBOARDs encourage researchers to explore ways to diversify automatic evaluations by updating the ensemble metric every time a new metric is submitted.

[20] SACREBLEU implementation of sentence-level BLEU-4; https://github.com/mjpost/sacreBLEU/blob/v1.2.12/sacrebleu.py#L999.
[21] HuggingFace implementation (Wolf et al., 2020).
[22] https://github.com/mjpost/sacrebleu.
[23] https://www.nltk.org/_modules/nltk/translate/meteor_score.html.
[24] https://github.com/m-popovic/chrF.
[25] https://github.com/salaniz/pycocoevalcap.
[26] https://github.com/rwth-i6/CharacTER.
[27] https://github.com/ThomasScialom/summa-qa.
[28] https://huggingface.co/metrics/bleurt.
[29] https://github.com/Unbabel/COMET/.
[30] https://github.com/thompsonb/prism.
[31] https://github.com/salaniz/pycocoevalcap.

ZH-EN	– 0.61	COMET 0.61	COMET-QE 0.57	BLEURT 0.61
EN-DE	– 0.51	COMET 0.52	COMET-QE 0.52	Prism-ref 0.52
CNNDM	– 0.29	COMET 0.23	COMET-QE 0.31	BERTScore 0.31
COCO	– 0.45	RefCLIP-S 0.44	RefOnlyC 0.42	CIDEr 0.43

Table 11: Correlations from ensemble ablation studies. One of the three selected metrics is removed at a time, and a new Lasso regression model is trained on the remaining metrics. The bigger the correlation drop is, the bigger the contribution is from the removed metric. COMET-QE is a referenceless metric.

E   Additional Mixed-Effects Analysis

Table 12 presents fixed effect coefficients that measure how much each automatic metric *overrates* machines over humans (§2.3). With some exceptions in CNNDM summarization, almost all automatic metrics *underrate* human generations (significantly positive coefficients). Table 13 swaps the roles of human-generated text, but we still see similar patterns: almost all metrics overrate machines over humans, but the problem is mitigated in COMET-QE, a referenceless, quality estimation metric. This confirms that our findings hold independently of the design choice.

F   Crowdworker vs. Rubric-based Expert Evaluations

Seen in Table 14 are examples where crowdworker evaluators (Barrault et al., 2020) and professional translators (Freitag et al., 2021) disagree: crowdworkers give lower scores to the human-generated translations than the machine-generated ones. The first case requires document-level context to properly evaluate. Document-level context and diversity in high-quality human translations can mislead crowdworkers.

ZH-EN	COMET-QE	Ensemble	COMET	BLEURT	BERTScore	CharacTER	MoverScore	METEOR
	$0.13_{\pm0.01}$	$0.19_{\pm0.01}$	$0.27_{\pm0.02}$	$0.32_{\pm0.02}$	$0.52_{\pm0.02}$	$0.56_{\pm0.02}$	$0.57_{\pm0.02}$	$0.57_{\pm0.02}$
	Prism-ref	chrF	TER	chrF++	ROUGE-3	BLEU	ROUGE-L	Prism-src
	$0.58_{\pm0.02}$	$0.58_{\pm0.02}$	$0.59_{\pm0.02}$	$0.60_{\pm0.02}$	$0.61_{\pm0.02}$	$0.62_{\pm0.02}$	$0.64_{\pm0.02}$	$1.13_{\pm0.02}$
EN-DE	COMET-QE	Ensemble	COMET	MoverScore	chrF	chrF++	BLEU	CharacTER
	$-0.17_{\pm0.02}$	$-0.05_{\pm0.02}$	$0.08_{\pm0.02}$	$0.22_{\pm0.03}$	$0.29_{\pm0.02}$	$0.32_{\pm0.02}$	$0.33_{\pm0.03}$	$0.33_{\pm0.03}$
	BERTScore	Prism-ref	TER	Prism-src				
	$0.43_{\pm0.02}$	$0.44_{\pm0.02}$	$0.49_{\pm0.03}$	$1.46_{\pm0.03}$				
CNNDM	TER	COMET	Ensemble	BERTScore	MoverScore	COMET-QE	CharacTER	BLEURT
	$-0.58_{\pm0.14}$	$-0.17_{\pm0.12}$	$-0.16_{\pm0.12}$	$-0.04_{\pm0.12}$	$-0.03_{\pm0.11}$	$0.02_{\pm0.11}$	$0.14_{\pm0.15}$	$0.25_{\pm0.12}$
	SummaQA	ROUGE-L	BLEU	Prism-ref	chrF	chrF++	ROUGE-3	METEOR
	$0.27_{\pm0.10}$	$0.33_{\pm0.13}$	$0.37_{\pm0.11}$	$0.38_{\pm0.12}$	$0.43_{\pm0.13}$	$0.45_{\pm0.13}$	$0.49_{\pm0.11}$	$0.53_{\pm0.12}$
COCO	CLIP-S	CharacTER	RefCLIP-S	chrF	SPICE	Ensemble	ROUGE-3	METEOR
	$0.40_{\pm0.06}$	$0.50_{\pm0.06}$	$0.61_{\pm0.07}$	$0.65_{\pm0.06}$	$0.65_{\pm0.06}$	$0.67_{\pm0.07}$	$0.68_{\pm0.07}$	$0.70_{\pm0.06}$
	chrF++	RefOnlyC	CIDEr	MoverScore	SentBLEU	TER	ROUGE-L	BERTScore
	$0.70_{\pm0.06}$	$0.70_{\pm0.07}$	$0.75_{\pm0.05}$	$0.82_{\pm0.05}$	$0.82_{\pm0.06}$	$0.84_{\pm0.07}$	$0.85_{\pm0.06}$	$0.87_{\pm0.06}$

Table 12: Fixed-effect coefficients β_0 from the linear mixed-effects analysis that measures how much automatic metrics **overrate** machine text over human, as compared to human raters (§2.3). $\beta_0 = 0$ is neutral, and statistical significance is indicated by red (positive) or blue text (negative). The subscripts indicate 90% confidence intervals. COMET-QE, Prism-src, SummaQA and CLIP-S are referenceless metrics. In both WMT20 ZH-EN and WMT20 EN-DE, Human-B is evaluated as human-generated translations. Human-A (WMT20 ZH-EN) and Human-A and Human-P (WMT20 EN-DE) are used as the reference set for reference-based metrics.

ZH-EN	COMET-QE	Ensemble	COMET	BLEURT	TER	BERTScore	ROUGE-3	Prism-ref
	$0.03_{\pm0.01}$	$0.06_{\pm0.01}$	$0.08_{\pm0.02}$	$0.09_{\pm0.02}$	$0.23_{\pm0.02}$	$0.24_{\pm0.02}$	$0.24_{\pm0.02}$	$0.25_{\pm0.02}$
	CharacTER	ROUGE-L	chrF	MoverScore	METEOR	chrFpp	BLEU	Prism-src
	$0.25_{\pm0.02}$	$0.26_{\pm0.02}$	$0.27_{\pm0.02}$	$0.27_{\pm0.02}$	$0.29_{\pm0.02}$	$0.29_{\pm0.02}$	$0.30_{\pm0.02}$	$0.79_{\pm0.02}$
EN-DE	COMET-QE	Ensemble	COMET	MoverScore	Prism-ref	chrF	BERTScore	CharacTER
	$-0.09_{\pm0.02}$	$-0.08_{\pm0.02}$	$-0.06_{\pm0.03}$	$0.02_{\pm0.02}$	$0.18_{\pm0.02}$	$0.20_{\pm0.02}$	$0.21_{\pm0.02}$	$0.22_{\pm0.02}$
	chrF++	SentBLEU	TER	Prism-src				
	$0.22_{\pm0.02}$	$0.23_{\pm0.02}$	$0.32_{\pm0.02}$	$1.38_{\pm0.03}$				

Table 13: Fixed-effect coefficients β_0 from the linear mixed-effects analysis that measures how much automatic metrics **overrate** machine text over human, as compared to human raters (§2.3). **The roles of human translations are swapped**: Human-A is evaluated, and Human-B (WMT20 ZH-EN) and Human-B and Human-P (WMT20 EN-DE) are used as the reference. We still see similar patterns to Table 12: almost all automatic metrics overrate machines over humans, but the problem is less severe in the referenceless metric of COMET-QE.

	WMT20 ZH-EN	
Source	希望兴安省继续为白俄罗斯企业提供便利条件。	凭的是相机而动的时势驾驭。
Huoshan	It is hoped that **Xing'an Province** will continue to provide convenient conditions for Belarusian enterprises.	It is based on the current situation of the **camera**.
Human-A	He hoped that **Hung Yen Province** would continue to provide convenient conditions for Belarusian enterprises.	This relies on the ability to seize opportunities.
Human-B	He hoped that **this** could continue in the future.	It is based on the observation of various situations at different times.

Table 14: Examples where crowdworker evaluators (Barrault et al., 2020) and professional translators (Freitag et al., 2021) disagree: crowdworkers give lower scores to the human-generated translations than the machine-generated ones. The first case requires document-level context to properly evaluate. 兴安省 is Hung Yen Province in Vietnam in this context, but there is entity ambiguity (Xing'an Province that existed in Republic of China.). The second one illustrates the diversity of human generations that mislead crowdworkers.